

SUSTAINABILITY POLICY

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1 OBJECTIVES

B3's Sustainability Policy is aimed at formalizing and helping direct the guidelines for activity, thus reaffirming the strategic importance of sustainability for the Company, while serving as a driver of the sustainability agenda's insertion, development and evolution in two areas of B3 SA - Brasil, Bolsa, Balcão: as a publicly held company and as an inductive agent of the Brazilian market.

2 POLICY SCOPE

The Sustainability Policy applies to all employees, interns and other staff of B3 S.A. - Brasil, Bolsa, Balcão, as well as its subsidiaries and affiliates in Brazil and abroad.

3 RELATED DOCUMENTS

Because of its institutional nature, the Sustainability Policy correlates with the following corporate documents:

- Code of Conduct: Establishes rules for managers, employees and interns to avoid conflicts of interest, encompassing relationships with external and internal stakeholders, treatment of privileged information, and securities trading.
- Supplier Code of Conduct: Establishes principles and practices that must be part in the day-to-day routine of all those suppliers and commercial partners involved in building and maintaining relations that add value and develop society economically, socially and environmentally.
- Sustainability Committee Rules.

4 PRINCIPLES

B3's Sustainability Policy is based on the Company's sustainability mission, which is: "To promote sustainability and private social investment aligned with strategy, contributing to the institutional strengthening of B3".

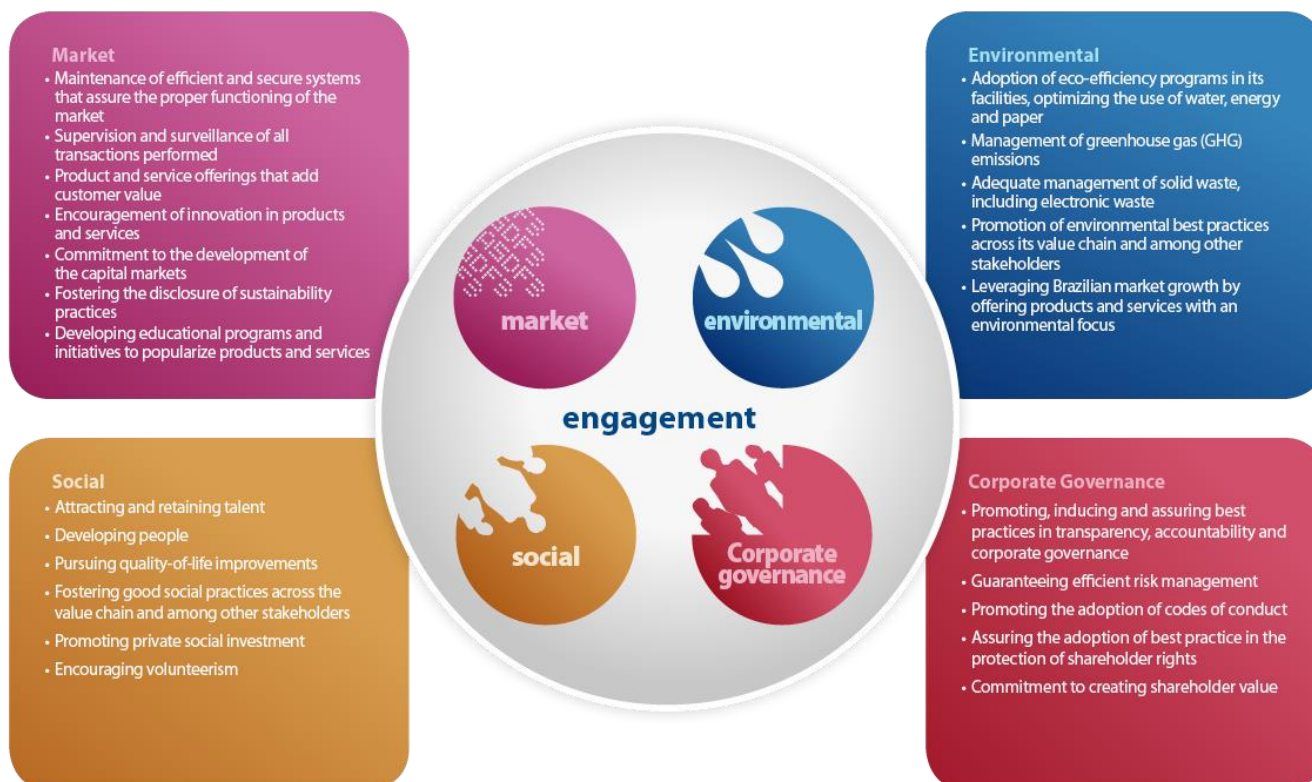
5 THE POLICY

B3's Sustainability Policy is structured into four pillars which group together relevant themes – Market, Environmental, Social and Corporate Governance, to reaffirm its commitment to sustainable development, providing guidance for management of the Company:

- **Market:** To fulfill its commitment to the smooth and safe operation of the market, B3 pledges to maintain efficient and secure systems, offer innovative products and services that add customer value, encourage the adoption of sustainable practices by listed companies and develop education programs to popularize its products and services.
- **Environmental:** To minimize the environmental impacts associated with its activities, B3 pledges to adopt eco-efficiency programs in its facilities, optimize water, energy and paper use, and properly manage solid waste, including electronic waste and greenhouse gas emissions. Furthermore, the Company pledges to promote good environmental practices across its value chain and among other stakeholders, while leveraging Brazilian market growth by offering products and services focused on the environmental market.
- **Social:** As a socially responsible company that respects its internal and external relationships, B3 pledges to attract and retain talented people, encourage the pursuit of improvements to the quality of life, and develop its employees. The Company is also committed to fostering good social practices across its value chain and among other stakeholders, to promoting private social investment, and to encouraging volunteerism.
- **Corporate Governance:** Given its commitment to best corporate governance practices and its strong belief that this is a way of creating shareholder value, B3 is duty-bound to promote, induce and assure good transparency and accountability practices, guarantee efficient risk management, promote the adoption of codes of conduct, and assure the

protection of shareholder rights.

The Four Pillars of B3's Sustainability Policy



6 SUSTAINABILITY GOVERNANCE

In addition to the Media Relations, Sustainability, Communications and Social Investment Department, B3 has two other multidisciplinary spheres of governance that are responsible for the development and management of this Policy: the Sustainability Committee and the Sustainability Working Group.

Sphere	Composition	Frequency of meetings
Sustainability Committee	Chief Officers, Managing Directors and Outside Members	quarterly
Sustainability Working Group	B3's Media Relations, Sustainability, Communications and Social Investment Department and Associate Directors	monthly

7 RESPONSIBILITIES

7.1 Media Relations, Sustainability, Communications and Social Investment Department

- Promote the guidelines for the Sustainability Policy, adopting the necessary measures and reporting to the CEO.

7.2 Sustainability Committee

- Provide strategic guidance to the Sustainability Policy and approve planning and macro initiatives.

7.3 Sustainability Working Group

- Propose and implement the sustainability agenda on a daily basis.
- Disseminate the culture of sustainability throughout the company and throughout its relationship areas, in order to engage the employees and help position B3 on this important theme.
- Report to the Sustainability Committee.

7.4 Employees, Interns and Service Providers

- Read and understand B3's Sustainability Policy and endeavor to follow its guidelines in their routines whenever appropriate.
- Help disseminate the Policy to stakeholders.
- Contact the Media Relations, Sustainability, Communications and Social Investment Department to clarify doubts and request guidance.

8 CONTROL INFORMATION

Validity: as of August 2017.

1st Version: April 2013

Areas responsible for the document:

Responsible	Area
Drafting	Media Relations, Sustainability, Communications and Social Investment Department
Revision	Media Relations, Sustainability, Communications and Social Investment Department
Approval	Board of Directors

Change log:

Version	Item Changed	Rationale	Date
01	Original Version	N/A	April 2013
02	Working Group substituted for "Commission"	Adjustment to template and Company structure	April 30, 2015
03	Company name changed throughout the document and text rearranged to suit B3's standard	Adjustment to template and Company structure	August 11, 2017